c Code: AP.PRE.REQ

PTO/SB/33 (07-05)
Approved for use through xx/xx/200x. OMB 0651-00xx
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional)	
		42390.P7956	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on	Application N	umber	Filed
	09/540,239		March 31, 2000
	First Named Inventor		
	Rick Dedrick, Et Al.		
	Art Unit		Examiner
Typed or printed name Rachael L. Brown	3627		Michael A. Cuff
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.			
This request is being filed with a notice of appeal.			
The review is requested for the reason(s) stated on the attached sheet(s).  Note: No more than five (5) pages may be provided.			
I am the	(.	M-1	
applicant/inventor.	<u> </u>	ult Misse	denor
assignee of record of the entire interest.	<b>P</b> 2111		Signature , Reg. No. 42, 879
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Typed or printed name		
X attorney or agent of record. 42, 879 Registration number	(503) 439–8778		
	Telephone number		
attorney or agent acting under 37 CFR 1.34.	Ju]	y 25, 2005	
Registration number if acting under 37 CFR 1.34	Date		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
*Total of forms are submitted.			

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/540,239

Confirmation No. 1914

Applicant Filed

Rick Dedrick March 31, 2000

TC/A.U.

3627

Examiner

Michael A. Cuff

Docket No.

042390.P7956

Customer No.:

008791

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

## ARGUMENT IN SUPPORT OF PRE-APPEAL BRIEF REQEUST FOR REVIEW

In response to the Pre-Appeal Brief Request For Review filed herewith, please consider the following remarks. Applicant requests review of several clearly improper rejections based upon Examiner Cuff's material errors in facts and material omission of essential claim elements in order to establish a rejection. Applicant will be filing an appeal brief concerning errors in claim interpretation, but some or all of this appeal may be rendered moot by the present request for a pre-appeal review.

Regarding the claims, they generally concern an e-commerce type of environment in which items may be deposited into an electronic shopping cart, and where "a distribution package" may be created based on the contents of the shopping cart.

Regarding claim 1 in particular, this embodiment recites "receiving a list of goods available for electronic and/or physical distribution to a client."

In response to the claims, the Examiner improperly gives an omnibus rejection lacking adequate support by stating "Schmidt et al. shows all of the limitations of the

claims except for specifying selecting goods and the user of a public key." Applicant has attempted to argue, without success, that Schmidt has no teaching or hint of a teaching of the recited embodiments.

In particular, for example, there is no teaching or hint of teaching the recited "goods available for ... physical distribution." While the claim language does state "electronic and/or physical distribution," this is still a limitation in that the recited list of goods must be able to present both types of distributable goods. There is no teaching in Schmidt of an environment allowing for electronic and/or physical distribution of goods as recited. It is respectfully submitted that the Examiner intentionally ignores this limitation, and this constitutes a material omission of an essential claim element in order to establish the present rejections.

Other independent claims also have a physical distribution limitation. For example, claim 7 recites "a list of goods available for electronic and physical distribution to a client." Claim 25 recites "assembling, based at least in part on the identifier, a list of goods available for electronic and/or physical distribution to a user-client." Claim 29 recites "a client connecting to a distribution server having associated packages, each package identifying selected ones of electronic and physical goods and updates thereto, and each package having an associated access control set by a creator of the package."

Dependent claims 5, 6, 11, and 12 also recite "physical." As with claim 1, it is respectfully submitted that the Examiner intentionally ignores the physical limitation, and this constitutes a material omission of an essential claim element in order to establish the present rejections.

-2-

Atty. Docket No. 042390.7956 Examiner Michael A. Cuff TC/A.U. 3627

Application No. 09/540,239 Amendment dated July 25, 2005 Response to Office Action of February 24, 2005

Regarding claim 13, the Office admits Schmidt fails to teach selecting goods (which is obvious since the Examiner has failed to cite a case relating to the subject matter being claimed). Since all claimed embodiments recite selecting goods for placement into an electronic shopping cart, based on the Examiner's own admissions, Applicant submits it is a material defect in the Office Action to then seek to reject the claims based on the omnibus rejection discussed above. Similar issues are present in the rejection of claim 17.

For at least these reasons, Applicant requests that the rejections be reviewed preliminarily reviewed prior to instituting the full appeal process. Please charge any shortages and credit any overcharges to our Deposit Account number 02-2666.

Respectfully submitted, **BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP** 

Date: JULY 25, 2005

Paul A. Mendonsa Attorney for Applicant Reg. No. 42,879

12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1026 (503) 439-8778